

June 17, 2002

Commissioner For Patents
Washington, D.C. 20231

Re: Applicant(s): Vargas, Jaime S.
Assignee: Cardica, Inc.
Title: Tool for Performing End-to-End Anastomosis
Serial No.: 10/083,235
Examiner: Not yet assigned
Docket No.: 109

Filed: February 26, 2002
Group Art Unit: 3700

RECEIVED
JUN 24 2002

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) This Transmittal Letter;
- (2) Information Disclosure Statement;
- (3) PTO form 1449 and references cited therein; and
- (4) Return postcard.

- ☒ No additional fee is required.
☐ The fee has been calculated as shown below:

CLAIMS AS AMENDED

Claims Remaining <u>After Amendment</u>	Highest No. Previously <u>Paid For</u>	Present <u>Extra</u>	Rate	Additional <u>Fee</u>
Total Claims	Minus	= 0	x \$18	\$ 0.00
Independent Claims	Minus	= 0	x \$80	\$ 0.00
<input type="checkbox"/> Recordation fee under 37 CFR 1.21(h)				\$ 0.00

Total additional fee for this Amendment: \$ 0.00

- ☒ Conditional Petition for Extension of Time: If an extension of time is required for timely filing of the enclosed document(s) after all papers filed with this transmittal have been considered, an extension of time is hereby requested.
- ☐ Please charge our Deposit Account No. 502108 in the amount of \$ 0.00
- ☒ Also, charge any additional fees required and credit any overpayment to our Deposit Account No. 502108.

Total: \$ 0.00

**EXPRESS MAIL LABEL NO.
EV107871628US**

Respectfully submitted,

Brian A. Schar
Attorney for Applicant(s)
Reg. No. 45,076



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Vargas, Jaime S.
Assignee: Cardica, Inc.
Title: Tool for Performing End-to-End Anastomosis
Serial No.: 10/083,235 Filing Date: February 26, 2002
Examiner: Not yet assigned Group Art Unit: 3731
Docket No.: 109

RECEIVED
JUN 24 2002
TECHNOLOGY CENTER R3700

Menlo Park, California
June 17, 2002

Commissioner for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97(b)(1)

In compliance with Applicants' duty under 37 CFR § 1.56, the following information is brought to the attention of the Examiner. The items are listed on the attached form PTO-1449, and a copy of each is enclosed for the convenience of the Examiner.

The items identified in this Information Disclosure Statement may or may not be "material" pursuant to 37 CFR § 1.56, and the submission thereof by Applicant shall not be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicant as such. Because this IDS is being submitted before receipt of the first Office Action, no fee is due.

Respectfully submitted,

Brian A. Schar
Attorney for Applicants
Reg. No. 45,076
Tel. No. (650) 326-5600 x162

**LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENT**

APPLICANT:

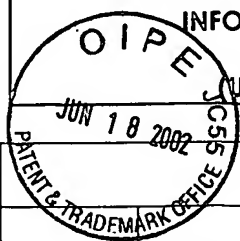
VARGAS, JAIME S.

FILING DATE:

2/26/02

GROUP:

3734



(Us s v ral sh ts if n cessary)

RECEIVED
JUN 24 2002
TECHNOLOGY CENTER
SUB CENTER R3700

U.S. PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	NAME	CLASS	FILING DATE
3,254,650	6/1/66	COLLITO		
3,254,651	6/1/66	COLLITO		
3,519,187	7/7/70	KAPITANOV		
3,774,615	11/27/73	LIM ET AL		
4,350,160	9/21/82	KOLESOV, ET AL		
4,523,592	6/18/85	DANIEL		
4,553,542	11/19/85	SCHENCK ET AL		
4,593,693	6/10/86	SCHENCK		
4,607,637	8/26/86	BERGGREN ET AL		
4,624,255	11/25/86	SCHENCK ET AL		
4,624,257	11/25/86	BERGGREN ET AL		
4,657,019	4/14/87	WALSH ET AL		
4,721,109	1/26/88	HEALEY		
4,747,407	5/31/88	LIU ET AL		
4,907,591	3/13/90	VASCONCELLOS, ET AL		
4,917,087	4/17/90	WALSH ET AL		
4,917,090	4/17/90	BERGGREN ET AL		
4,917,091	4/17/90	BERGGREN ET AL		
5,119,983	6/9/92	GREEN ET AL		
5,141,516	8/25/92	DETWEILER		
5,192,289	3/9/93	JESSEN		
5,669,918	9/23/97	BALAZS, ET AL		
5,702,048	12/30/97	EBERLIN		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

WOOD, MICHAEL B. ATLAS OF RECONSTRUCTIVE MICROSURGERY 1990 P4-5

LORENZETTI, FULVIO BLOOD FLOW IN FREE MICROVASCULAR FLAPS, 2001, P5-52

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant

FORM PTO-1449 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 109	SERIAL NO. 10/083235
	APPLICANT: VARGAS, JAIME S.	
	FILING DATE: 2/26/02	GROUP: 375

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
		00/15144	3/23/00	EUROPE			



RECEIVED
JUN 24 2002
TECHNOLOGY CENTER R3700

EXAMINER:	DATE CONSIDERED:
EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant	